



## Risk Assessment 7 July 2022

Risk assessment is a systematic general examination of working conditions, workplace activities and environmental factors that will enable the Parish Council to identify any and all potential inherent risks. The Parish Council, based on a recorded assessment, will take all practical and necessary steps to reduce or eliminate the risks, insofar as practically possible.

This document enables Ogbourne St George Parish Council to assess the risks it faces and satisfy itself that it has taken adequate steps to minimise them.

**Adopted by Full Council** on 9 July 2020 – **Minute reference:** FC/20/21/000

**Updated on 7 July 2022 – Minute reference:** FC/xxxxx

**To be Reviewed by Full Council** - May 2023

**Probability:** How likely the event is to occur

**LOW:** Expected to occur infrequently **MED:** Expected to occur frequently **HIGH:** Expected to occur

**Severity:** How severe an outcome or effect on the Council.

**LOW:** Small impact **MED:** Medium impact **HIGH:** Severe impact

**Cross referencing the likelihood with the severity determines the Risk**

RISK	Probability			
	LOW	MED	HIGH	
Severity	LOW	LOW	MED	HIGH
	MED	MED	MED	HIGH
	HIGH	HIGH	HIGH	HIGH

In all cases, controls are needed to reduce the Risk into a green or yellow box A MED risk can be either green or yellow and HIGH risk can be either yellow or red – in all cases it is the colour of the box that is most important.



## FINANCIAL AND MANAGEMENT

Subject	Risk(s) identified	Sev.	Prob.	Management/control of Risk	Review/Assess/Revise
Precept	Adequacy of precept in order for the Council to carry out its statutory duties	H	L	To determine the precept amount required, the Council regularly receives budget updates, financial statements and bank reconciliation from the Clerk. At the precept meeting Council receives a budget report, including actual position and projected position to the end of the year and indicative figure or costings obtained by the Clerk. With this information the Council maps out the required monies for standing costs and projects for the following year and applies specific figures to budget heading, the total of which is resolved to be the precept amount to be requested from Wiltshire Council. The figure is submitted by the Clerk electronically. The Clerk informs Council when the monies are received.	Existing procedure adequate when budget and precept submitted together in January meeting.  The Parish Council will maintain a target of <i>at least</i> three months operating costs in its financial reserves or 30%.
Financial Records	Inadequate records.	M	L	The Council has Financial Regulations that set out the requirements	Financial Regulations to be reviewed May 2023



	Financial irregularities	H	L	The Council has Financial Regulations that set out the requirements	Financial Regulations to be reviewed May 2023
Bank and banking	Inadequate checks	M	L	The Council has Financial Regulations that set out the requirements	Financial Regulations to be reviewed May 2023
	Bank mistakes	H	L		
Reporting and auditing	Inadequate information and communication	M	L	Financial information is a regular item and discussed/reviewed and approved at each meeting.	Existing procedure adequate
Grants	Failure to understand, seek secure and spend grants	H	L	The Parish Council does not presently have any Grants. In the event that they do, they following will apply:  Regular financial reporting to the Parish Council by the Clerk	Procedure would be invoked if requested
Charges – rents	Payment of rents	L	L	The Parish Council does not presently collect rents	Procedure would be invoked if requested
Grants and support payable	Power to pay Authorisation of Council to pay	M	L	The Parish Council does not presently pay Grant. In the event that they do the following will apply:  All such expenditure goes through the required council process of approval, minuted and listed	Procedure would be invoked if requested
Value for money/accountability	Work awarded incorrectly.	M	L	Normal Parish Council practice would be to seek, if possible, more than one quotation for any substantial work to be undertaken. For major work competitive tenders would be sought. If problems	Existing procedure adequate
	Overspend on services.	M	M		



				encountered with a contract the Clerk would investigate the situation and report to the Council.	Financial Regs to be reviewed May 2023
Salaries and associated costs	Salary paid incorrectly. Unpaid Tax to Inland Revenue	M H	L	The Parish Clerk presents salary and expense payments, including the amount owing to HMRC for formal approval at Council meetings. The Council contracts an external payroll service.	Reports to HMRC available for inspection.
Employees	Fraud by Staff and Parish Councillors	H	L	Requirement of Fidelity Guarantee insurance adhered to with regards to fraud.  The Clerk has PPE if required to work off site.	Existing procedures adequate. Monitor Health and Safety requirements and insurance annually.
	Health and Safety	M	L		Review H&S risk assessment as and when required.  Health and Safety Policy to be considered
VAT	Reclaiming	M	L	VAT is reclaimed up to twice a year by the Clerk and reported to the Council	Procedure adequate
Annual Return	Submit complete and approve within time limits	M	L	Annual Return is completed and submitted to council for approval and signature by the Chairman. It is submitted to the Internal Auditor for completion and sign off within the set time limit.	Existing procedures adequate.  The Parish Council will resolve its exemption from External Audit procedures at the



					beginning of the new financial year.
Legal Powers	Illegal activity or payments	H	L	All activity and payment within the power of the Parish Council be resolved at Full Council meetings, including reference to the power where appropriate.	Existing procedures adequate
Minutes/agendas/Notices/Statutory Documents	Accuracy and legality	H	L	Minutes and agendas are prepared in accordance with legislation. Agendas are prepared in time to give the three clear days' statutory notice and displayed within the legal requirement. Draft minutes of meeting are produced and, on the website, and noticeboard within 30 days of the meeting. Minutes are signed at the following council meeting. The business on the agenda is managed by the Chair and the Clerk offers legal advice where required at the meeting.	Existing procedures adequate.  Members abide by their own adopted code of conduct.
Members interests	Conflicts of interest	H	L	Declarations of interest by members are made at each council meeting (where necessary). An agenda item also prompts members to do so.	Existing procedure adequate
	Register of Members' interests	M	M		
Insurance	Adequacy	H	L	An annual review is undertaken of all insurance arrangements. Employers and Employee liabilities a necessity and within policies.	Existing procedure adequate. Insurance Reviewed annually
	Cost	M	L		
	Compliance	H	L		



	Fidelity Guarantee	H	M	Ensure compliance measures are in place. Fidelity checks in place.	
Data Protection	Policy Provision	M	L	The Parish Council is registered with the ICO	The Councils privacy notice is on the website. Data Management policy to be considered.
Freedom of Information	Policy Provision	M	M	The council will review its publication scheme. In the financial year 2021/22 there have been no FOI requests. Any request that involve extra work for the Clerk there is provision in the budget to cover payment for additional hours.	Monitor and review publication scheme. Monitor requests for FOI and the Clerk will report to council. FOI Policy to be considered
Transparency and accountability	Policy Provision	M	M	The new transparency code for Smaller Authorities in accordance with the Local Audit and Accountability Act 2014	Will review if any implications from GDPR legislation

#### PHYSICAL EQUIPMENT OR AREAS

Subject	Risk(s) identified	Sev.	Prob	Management/control of Risk	Review/Assess/Revise
Assets	Loss or damage	M	L	An annual review of assets is undertaken for insurance provision	Existing procedure adequate
	Risk/damage to third party (ies) property	L	L		
Maintenance	Poor performance of assets or amenities	M	L	All assets owned by the Parish Council are regularly reviewed and maintained. All repairs and relevant	Existing procedure adequate



				expenditure for any repair is auctioned/authorised in accordance with the correct procedures of the Parish Council. Assets are insured.	
Notice Board	Risk of damage	L	L	Councillors inspect the notice board when pinning up agendas and minutes. Any defects will be reported and attended to in accordance with council procedures.	Existing provision is adequate
Play Area Open Recreational spaces	Risk of damage	M	M	The play area is subjected to a ROSPA inspection each year. Any defects are assessed, and repairs/remedial work carried out by an authorised play equipment installer.  Members carry out visual checks periodically. The Council will investigate appropriate training in 2022/23 to improve the inspection model.	A contract is in place with Sovereign Playground Company providing annual inspection and maintenance for playground.  The inspection report is an agenda item and any defects reported are promptly dealt with via the contract. All inspections are recorded in the event they are required as evidence in an insurance claim.
	Injury to users	H	H		
Council records – paper	Loss through: Theft, fire, damage or corruption of computer	M	L	The Parish Council records are stored at the home of the Clerk. Records include historical correspondences, minutes,	Review storage records held at the Clerk's home.

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				insurance, bank records. Some records should be stored in a lockable fireproof cabinet.	Otherwise provision is adequate.
Council records – electronic	Loss through: Theft, fire, damage or corruption of computer	M	L	The Parish Council electronic records are stored on Cloud with regular backup.	Existing provision is adequate.

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