

PUNCKNOWLE AND SWYRE PARISH COUNCIL
COMPLAINTS PROCEDURE

1. Introduction

This procedure covers both routine complaints, and those that could be described as habitual and vexatious. The majority of complaints generally fall under the first category and only occasionally move to the second option- Dealing with Habitual or Vexatious Complainants.

Habitual or vexatious complaints are defined here as unreasonable complaints, enquiries or outcomes that are repeatedly or obsessively pursued.

Some types of complaint will be handled outside this procedure:

Financial irregularity will be handled by the Council's own auditor or external auditor,
Criminal activity, by the Local Police Authority,
Member conduct, by the standards committee of the relevant Principal Authority,
Employee conduct by internal disciplinary procedure.

2. If a complaint about procedures or administration practised by the Council's employees is notified orally to a councillor or the clerk, they should be asked to put the complaint in writing to the clerk and be assured that it will be dealt with promptly after receipt.
3. If the complainant prefers not to put the complaint to the clerk, he or she should be advised to write to the Chairman, or another councillor.
4. On receipt of a written complaint, the clerk or Chairman shall try to settle the complaint directly with the complainant. This shall not be done without first notifying the person complained against and giving him/her an opportunity to comment. Where the clerk receives a complaint about his/her actions, he/she will refer the complaint to the Chairman. The clerk shall be notified and given opportunity to comment.
5. The clerk or Chairman shall report to the next meeting of the Council any written complaint disposed of by direct action with the complainant.

6. The clerk or the Chairman, shall bring any complaint that has not been settled to the next meeting of the Council. The clerk shall notify the complainant of the date on which the complaint shall be considered, and the complainant shall be offered an opportunity to explain the complaint orally. Confidential matters may be dealt with under exempt business where members of the public/press are excluded, but decisions on any complaint shall be announced in public.
7. As soon as possible after the decision has been made, it and the nature of any action to be taken, shall be communicated in writing to the complainant and the person against whom the complaint has been made.
8. A decision on a complaint shall only be deferred if legal or other advice is sought and not yet received. The complaint shall be dealt with at the next meeting after the advice has been received.

POLICY – DEALING WITH HABITUAL OR VEXATIOUS COMPLAINANTS

Introduction

This policy identifies situations where a complainant, either individually or as part of a group, or a group of complainants, might be considered to be 'habitual or vexatious' and ways of responding to these situations.

Definitions

In this policy the term HABITUAL means 'done repeatedly or as a habit'. The term VEXATIOUS is recognised in law and means 'denoting an action or the bringer of an action that is brought without sufficient grounds for winning, purely to cause annoyance to the defendant': in the Parish Council context it means acting to cause annoyance to the Council or individual members.

Definition of a habitual or vexatious complainant

Complainants (and/or anyone acting on their behalf) may be deemed to be habitual or vexatious where previous or current contact with them shows that they meet one of the following criteria:

Where complainants

1. Persist in pursuing a complaint where the Council's Complaints Procedure has been fully and properly implemented and exhausted.
2. Persistently change the substance of a complaint or continually raise new issues or seek to prolong contact by continually raising further concerns or questions upon receipt of a response whilst the complaint is being addressed. (Care must be taken, however, not to disregard new issues which are significantly different from the original complaint as they need to be addressed as separate complaints).
3. Are repeatedly unwilling to accept documentary evidence given as being factual or deny receipt of an adequate response in spite of correspondence specifically answering their questions, or do not accept that some facts are difficult to verify when a long period of time has elapsed.
4. Repeatedly do not clearly identify the precise issues which they wish to be investigated, despite reasonable efforts of the Council to help them specify their concerns, and/or where the concerns identified are not within the remit of the Council to investigate.

5. Regularly focus on a trivial matter to an extent which is out of proportion to its significance and continue to focus on this point. It is recognised that determining what is a trivial matter can be subjective and careful judgement will be used in applying this criteria.
6. Have, in the course of addressing a registered complaint, had an excessive number of contacts with the Council – placing unreasonable demands on staff or members. For the purposes of determining an excessive number, a contact may be in person, by telephone, letter, email or fax. Discretion will be used in determining the precise number of excessive contacts applicable under this section, using judgement based on the specific circumstances of each individual case.
7. Have harassed or been personally abusive or verbally aggressive on more than one occasion towards staff or members dealing with the complaint. These will be documented.
8. Are known to have recorded meetings or face to face/telephone conversations without the prior knowledge and the consent of other parties involved.
9. Make unreasonable, demands on the customer/Council relationships and fail to accept that these may be unreasonable, for example, insist on responses to complaints or enquiries being provided more urgently than is reasonable or within the Council's Complaints Procedure or normal recognised practise.

Procedures for dealing with habitual or vexatious complainants

If a complainant has threatened or used physical violence towards staff or members at any time – this will in itself, cause personal contact with the complainant, and/or their representatives to be discontinued and the complainant will, thereafter, only be contacted through written communication. All such instances will be documented.

Where complainants have been identified as habitual or vexatious in accordance with the criteria set out above, the clerk in consultation with the Chairman and the Deputy Chairman of the Council will as appropriate take one or more of the following courses of action:-

1. The complainant will be notified in writing why their complaint has been classified as vexatious. The complainant will be advised of the Town Council's normal complaints procedure and what steps to follow if that is appropriate.
2. The complainant will be notified, in writing, that the Council has responded fully to the points raised and has tried to resolve the complaint but that there is nothing more to add and that continuing contact on the matter will serve no useful purpose. The complainant will also be notified that the correspondence is at an end, advising the complainant that they are being treated as a persistent or vexatious complainant and as such the Council does not intend to engage in further correspondence dealing with the complaint.
3. The Council will decline further contact with the complainant, either in person, by telephone, by fax, by letter or by email or by any other means.
4. The complainant will be informed that the Council may seek legal advice on unreasonable or vexatious complaints.
5. The Council will suspend all contact with the complainant in connection with the issues relating to the complaint being considered habitual and/or vexatious, while seeking advice or guidance from a solicitor or other relevant agency, such as the Local Government Ombudsman or External Auditor.
6. The clerk and the Chairman will invite the complainant to meet with them to discuss the matter.

7. The complainant will be notified of the contact details of the Local Government Ombudsman and invited to contact that office if they wish to take the matter further.

The Council will be notified of vexatious or habitual complainants.

Withdrawing Habitual or Vexatious Status

Once a complainant has been determined to be habitual and/or vexatious, their status will be kept under review. There will be an opportunity, however, if they subsequently demonstrate a more reasonable approach or if they submit a further complaint for which the normal complaints procedure would appear to be appropriate, to have their status reviewed and normal channels of communication may be resumed.

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