

Withington Parish Council

Personal Data Management and Audit Policy

Adopted by the Council on: 4th July 2018

Minute Ref: 23/2018

Review Date: July 2019

Data Management

The GDPR places a much greater emphasis on transparency, openness and fairness than previous legislation required. The Parish Council as Data Controller will ensure the Principles of Data Protection legislation will be followed in the management of personal data and that employees and councillors understand the requirements of the new legislation.

The Clerk and the Responsible Finance Officer (as Data Processors) will follow the underlying principles that personal data:

- (a) Must be processed lawfully, fairly and transparently.
- (b) Is only used for a **specific processing purpose** that the data subject has been made aware of and no other, without further consent.
- (c) Should be **adequate, relevant and limited** i.e. only the minimum amount of data should be kept for specific processing.
- (d) Must be **accurate** and where necessary **kept up to date**.
- (e) Should **not be stored for longer than is necessary**, and that storage is safe and secure.
- (f) Should be processed in a manner that ensures **appropriate security and protection**.

The Clerk will manage subject access requests allowing data subjects to exercise their rights under the GDPR:

- The right to access personal data we hold on you*
- The right to correct and update the personal data we hold on you*
- The right to have your personal data erased*
- The right to object to processing of your personal data or to restrict it to certain purposes only*
- The right to data portability*
- The right to withdraw your consent to the processing at any time for any processing of data to which consent was obtained*
- The right to lodge a complaint with the Information Commissioner's Office.*

The Council, as Data Controller has adopted a Privacy Policy and Subject Access Request Policy. These, together with the Privacy Notice, are available to view on the Council website or via the Clerk.

The Clerk and The Responsible Finance Officer will:

- Ensure that data is held securely, password controlled on a need to know basis and back-up systems are in place
- Maintain a processing log of data
- Ensure that data is held no longer than is necessary and follows guidelines for its deletion
- Ensure that Consent Forms are obtained where necessary, recorded and reviewed as necessary
- Undertake data protection impact assessments where required for new projects as directed by the Council as Data Controller.
- Ensure the notification of personal data breaches to the ICO if applicable.
- Report to Council on progress in compliance with GDPR to include any required monitoring identified

Data Audit

Subject	Nature/Purpose of Processing	Type of Data / Where is it from	Who is the Data Subject?	Lawful Basis /Bases for Processing	Data Controls
Resident email addresses	To share information with residents such as events, roadworks. Council documents	Name and email addresses given by the resident	Resident or members of the public who have given	Consent	Clerk to retain on (password protected) PC laptop in a secure place and obtain consent. Not to be used for other purpose unless consent is given. Not to be shared with third parties. Information to be deleted if consent withdrawn
Charitable donations and Gift Aid details.	Financial processing	Names, addresses and financial information. Bank statements	Friends of the Green and other donations from members of the public.	Legal obligation and Consent	Copy to be retained on (password protected) computer, held by the Responsible Finance Officer in a secure place until consent is withdrawn at which time the information will be shredded or burnt.
Planning Applications	Consultations and decisions published by the Planning Authority and shared with Parish Council. Clerk emails details of each application and decision to parish councillors. Also discussed in open forum. Parish council comments on application provided by Planning Authority	Name and contact information; Principal authority; residents/public	Planning applicant /resident. Other members of the public speaking in open public session at council meetings	Compliance with legal obligation	• Clerk to check all information before sharing with parish councillors, and ensure sensitive personal data is redacted wherever possible before sharing or publishing. • Information in agenda and minutes to include only what is necessary to identify and discuss the application or decision. • Any correspondence between PC and applicant to be in accordance with data protection principles, and to be deleted/destroyed within two years.

Subject	Nature/Purpose of Processing	Type of Data / Where is it rom	Who is the Data Subject?	Lawful Basis /Bases for Processing	Data Controls
Electoral roll	Provided to Clerk at election time for election purposes only	Provided by Principal Authority contains names, address,	Parish residents	Compliance with legal obligation	Clerk to retain in a secure place, not to be shared. Members of the public to be directed to Principal Authority for any electoral roll queries.
Resident Surveys	Inform residents and gain views of residents	Resident Names and Contact details- from residents	Residents	Consent	Clerk to retain in a secure place. Not to be used for other purpose unless consent is given.
Website	Information relating to the Parish is published on the website	Residents names and photographs	Members of public	Consent; compliance with legal obligation	Photographs of individuals are not be published on the website without the express permission of the individual.
Councillor details	Clerk retains contact details/gathered for election purposes/published in accordance with Transparency Code and Code of Conduct	Name, address, contact details, and disclosable pecuniary interests	Parish Councillors	Compliance with legal obligation	Details published on website in accordance with statutory requirements. Data held by Clerk, on the PC laptop, and deleted when a councillor retires from office. Requests for this data from third parties shall be referred to the website.
Correspondence from members of the public/residents/other parties relating to parish matters which may contain personal data.	May relate to Council Services, Council performance, request for service, reporting issues or making complaints	Name, address, contact details, with possible sensitive personal data, depending on the nature of the matter; provided by residents	Members of the Public/Residents	Public interest; compliance with legal obligation	- Any email letter or other form of query received by the PC which contains personal data will be retained for a maximum of two years - Such data may be stored on the PC laptop, held by the Clerk in a secure place. - In accordance with the agreed privacy notice, such data shall not be shared with any third party without the express permission of the data subject.
Minutes containing Council Public Forum	Matters raised by members of the public at Council meetings; Minutes include a record of discussion as required by Local Government legislation	Names and possibly other information	Residents/members of the public	Compliance with legal obligation; public interest	- Clerk to avoid inclusion of personal data in agenda or minutes. Where personal data or potential identifiers cannot be avoided, these should be kept to a minimum. - Members of the public who attend the PC meetings should be informed that the issue may be included in public minutes, and should give their consent to this before the discussion (consent to be implied as Chair gives the members of the public the chance to withdraw)

Subject	Nature/Purpose of Processing	Type of Data / Where is it from	Who is the Data Subject?	Lawful Basis /Bases for Processing	Data Controls
Council Contracts and Services eg payroll, audit etc.	Correspondence with contractors/public to carrying out contracting work and services required by the Council;	Names, contact details, qualifications, financial details, details of certificates and diplomas, education and skills; provided in contract applications etc	Contractors/Trades persons surveyors, architects, builders, suppliers, advisers; payroll processors;	Contractual necessity	Copy to be retained on PC laptop, held by Clerk in a secure place, for life of contract
Employment Applications	Application forms and CVs for Job Vacancies	Applicants provide personal details which may be sensitive	Applicants	Contractual Necessity	Clerk to keep paper applications secure and collect any copies from councillors provided for interview; Held for 6 months and then destroyed.
Employee and former employee records	Contracts of employment, CVs, provided on recruitment and held on file	Personal data provided by employees	Employees and Former employees	Contractual necessity and compliance with legal obligation	Held secure by the Clerk with restricted access and shredded 6 years after employment ends; may contain sensitive personal data
	Personal data which comes under the control of the PC which does not fit into any of the categories above	Names, addresses and possible other personal data.			- Clerk to process the data in accordance with the data protection principles, always ensuring that personal data is stored securely and not shared with any third party without the express permission of the data subject. - Clerk may need to bring report to Council to determine the way in which the data should be controlled, and if necessary provide a Personal Data Impact Assessment

Completed by: Denise Roscoe. Date: 28th April 2018.
Clerk to the Parish Council