

Nash Parish Council Risk Assessment

Introduction

“The greatest risk facing a local authority is not being able to deliver the activity or services expected of the Council.”

Risk assessment is a systematic general examination of working conditions, workplace activities and environmental factors that will enable the employer to identify any and all potential risks inherent in the place or practices. Based on a recorded assessment the Members should then take all practical and necessary steps to reduce or eliminate the risks, insofar as is practically possible. Making sure that all Members are made aware of the results of the risk assessment.

This document has been produced to enable the Parish Council to assess the risks that it faces and satisfy itself that it has taken adequate steps to minimise them. In conducting this exercise, the following plan was followed:

- ✍ Identify the areas to be reviewed.
- ✍ Identify what the risk may be.
- ✍ Evaluate the management and control of the risk and record all findings.
- ✍ Review, assess and revise if required.

FINANCIAL AND MANAGEMENT

Subject	Risk(s) Identified	H / M / L	Management/Control of Risk	Review/Assess/Revise	Action/By
Business Continuity	Risk of Council not being able to continue its business due to an unexpected or tragic circumstance.	L	No management presently for loss or long term incapacity of a Clerk, loss or theft of records, full or majority replacement of the Council at an election or other such instances.	Existing procedure adequate. Ongoing plan revisions necessary.	

Subject	Risk(s) Identified	H / M / L	Management/Control of Risk	Review/Assess/Revise	Action/By
Precept	Adequacy of precept requirements not submitted to Shropshire Council	L	Parish Council regularly receives budget update information. When the precept is on the agenda the Council receives a budget update report, including actual position, projected position to end the year and indicative figures /costings. With this information the Council maps out the required monies for standing costs and projects for the following year and applies specific figures to budget headings, the total of which is resolved to be the precept amount to be requested. This figure is submitted by the Clerk in writing to Shropshire Council. The Clerk informs Council when the monies are received (approx May time).	Existing procedure adequate.	
	Amount not received by Shropshire Council	L	Adequate reserves to cover expenditure until payment can be received.		
Financial records	Inadequate records	L	The Council has Financial Regulations which set out the requirements. Existing procedure adequate.	Review Financial Regulations when necessary.	
	Financial irregularities	L	Internal auditor reviews accounts		
Bank and banking	Inadequate checks	L	The Council has Financial Regulations which set out the requirements for banking, cheques and reconciliation of the account. The Parish Council has one current bank account. Cash and cheques received banked within 3 days. Cheques require two signatures, normally it is the Chairman and the Vice-Chair that sign the cheques, but any of the other councillors are able to do so including the clerk	Existing procedure adequate. Ensure Financial Regulations Relevant Review when necessary the bank signatory list, especially after an election.	

Reporting and auditing	Information communication Compliance	L L	A financial summary is produced regularly at Council meetings which is distributed, discussed and approved.	Existing communication procedures adequate.	
Direct costs Overhead expenses	Goods not supplied but billed Incorrect Invoicing Cheque payable incorrect	L L L	The Council has Financial Regulations which set out the requirements. At each Council meeting the list of invoices awaiting approval is distributed to all Councillors, and questioned. One Councillor is nominated to check each invoice against the cheque book and associated paperwork . Council approves the list of requests for payment.	Existing procedure adequate.	Verify and initials invoice
Grants and support - payable	Power to pay Authorisation of Council to pay	L	All such expenditure to go through the required Council process of approval and minuted and listed accordingly.	Existing procedure adequate.	
Grants - receivable	Receipts of Grant	L	The Parish Council does not presently receive any grants.	Procedure would need to be formed if required.	
Best value Accountability	Work awarded Incorrectly Overspend on services	L L	The Council has Financial Regulations which set out the requirements. Normal Parish Council practice is to seek more than one quotation for any substantial work required to be undertaken or goods. For major contract services, formal competitive tenders would be sought. If a problem is encountered with a contract the Clerk would investigate the situation, check the quotation/tender, research the problem and report to Council.	Existing procedure adequate.	

Election costs	Risk of cost from an election	L	When an election is due the Clerk will obtain an estimate of costs from Shropshire Council for a full election and an uncontested election. There are no measures which can be adopted to minimise the risk of having a contested election as this is a democratic process and should not be stifled.	Existing procedure adequate.	
VAT	Re-claiming/ charging	L	The Council has Financial Regulations which set out the requirements.	Existing procedure adequate.	
Employees	Loss of key personnel	L	The Clerk should have opportunity for training, reference books, access to assistance and legal advice required to undertake the role.	Existing procedure adequate. Purchase revised books, membership of the SALC/ training.	
Minutes/ Agendas/ Notices Statutory documents	Accuracy and Legality Business conduct	L L	Minutes and agenda are produced in the prescribed method by the Clerk and adhere to the legal requirements. Minutes are approved and signed at the next Council meeting. Minutes and agenda are displayed according to the legal requirements. The Chair should manage business conducted at Council meetings.	Existing procedure adequate. Guidance/training to the Chair should be offered.	Councillor and Chair training
Members interests	Conflict of interest Register of Members interests not being up-to-date	L M	The declaring of interests by members at a meeting should become a more regular and obvious process. Register of Members Interest forms should be reviewed regularly.	Members take responsibility to check and update their Register and undertake training	Councillor Training Update registers

Members Training	Inadequate knowledge of Code of Conduct and Council Procedures, Roles and Responsibilities.	M	Clerk to send training opportunities to Councillors as and when received.	Members take responsibility to undertake training	Councillor Training Completed.
Insurance	Adequacy Cost Compliance	L	An annual review is undertaken (before policy renewal) of all insurance arrangements. Employers and Employee liability insurance is a necessity and must be paid for. Ensure compliance measures are in place.	Existing procedure adequate. Review compliance.	Annual review
GDPR	Non-Compliance of the new Data Protection Laws, resulting in fines	M	Ensure adequate policies are in place and reviewed	New policies to be adopted at Annual Parish Council Meeting	Annual review

PHYSICAL EQUIPMENT OR AREAS

Subject	Risk(s) Identified	H / M / L	Management/Control of Risk	Review/Assess/Revise	Action/By
Assets	Loss or Damage	L	An annual review of assets is undertaken for the External Auditor annually.	Existing procedure adequate.	Annual review
Maintenance	Poor performance of assets or amenities	L	All assets owned by the Parish Council are regularly reviewed and maintained.	Existing procedure adequate.	
	Risk/damage to third parties	L	All repairs and relevant expenditure for these repairs are actioned/authorised in accordance with procedures. All assets are insured and reviewed annually.		
Meeting location	Adequacy Health & Safety	L L	The Parish Council Meetings are held at Nash and Boraston Village Hall in mostly one room. The premises and the facilities are considered to be adequate for the Clerk, Councillors and Public who attend from Health & Safety and comfort aspect.	Existing location adequate.	
Council records - paper	Loss through theft/fire/damage	L	The Parish Council records are stored at the home of the Clerk. Records include historical correspondence, minute books and records such as, insurance, etc. Recent materials are in a filing cabinet (not fire proof)	Inadequate Existing storage provision is inadequate in the case of a fire.	
Council records - electronic	Loss through theft/fire/damage/computer corruption	L	The Parish Council's electronic records are	Back-ups of the files are taken at	Clerk

			stored on the Clerks computer.	regular intervals and supplied to the Chair or safe keeping.	
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This Risk Assessment was adopted by the Parish Council at a meeting of the Council held on

Chair of Nash Parish Council

Clerk to Nash Parish Council